

PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/021,871
Filing Date	12-17-2001
First Named Inventor	Naidu, A. Satyanarayan
Group Art Unit	1651
Examiner Name	Ware, Deborah K.
Attorney Docket Number	14381-0012

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
SKW		DE	2421066		Solco Basel AG	03-25-1976		
SKW		EP	0271364		Biorem CC	12-14-1987		
SKW		WO	9301823		Probi AB	02-04-1993		

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	<i>Deborah K. Ware</i>	Date Considered	5/28/04
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<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 509. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.4. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is enacted.

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Date: May 21, 2004

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*From:* SHAUNA MURRAY AT DIRECT DIAL NUMBER (949) 725-4108  
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*Message:* Per our telephone conference, attached please find the Information Disclosure Statement we filed yesterday, for your review.  
*Client/Matter No.:* 14381-0012

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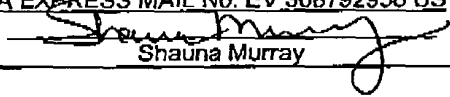
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VIA EXPRESS MAIL No. EV 308792958 US

  
Shauna Murray

**PATENT**

Inventor: Naidu  
Serial No.: 10/021,871  
Filing Date: December 17, 2001  
Title: PROBIOTIC COMPOUNDS  
DERIVED FROM  
LACTOBACILLUS CASEI  
STRAIN KE01

Examiner: Ware, Deborah K.  
Group Art Unit: 1651  
Atty Docket No.: 14381-0012

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

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Sir:

In accordance with 37 CFR § 1.56, Applicants submit herewith patents, publications or other information of which they are aware that may be considered in connection with the above-referenced patent application. Submission of this Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is "material" to applicants' invention as that term is currently defined in 37 CFR § 1.56 (37 CFR § 1.97(h)).

In accordance with 37 CFR § 1.97(g), the filing of this Information disclosure Statement shall not be construed to mean that a search has been made or that no other relevant information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any matter. Notice of January 9, 1992, 1135 O.G. 13-25 at 25.

Applicant: Naidu  
Serial No.: 10/021,871  
Group Art Unit: 1651

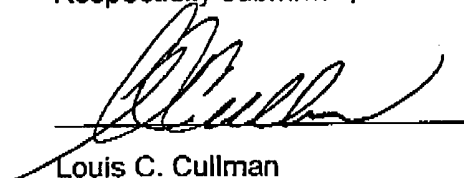
PATENT  
Docket No. 14381-0012

A Patent and Trademark Office Form PTO/SB/08A listing each of these informational items is enclosed along with a copy of each item.

The Commissioner is hereby authorized to charge any fee or credit any overpayment to Deposit Account No. 50-1329.

Respectfully submitted,

Dated: 5/20/04

  
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Registration No. 39,645

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